

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General

Inspector General



February 23, 2021

The Honorable Muriel Bowser
Mayor of the District of Columbia
Mayor's Correspondence Unit
The John A. Wilson Building
1350 Pennsylvania Avenue, N.W., Suite 316
Washington, D.C. 20004

The Honorable Phil Mendelson
Chairman
Council of the District of Columbia
The John A. Wilson Building
1350 Pennsylvania Avenue, N.W., Suite 504
Washington, D.C. 20004


Dear Mayor Bowser and Chairman Mendelson:

This letter informs you that the Office of the Inspector General (OIG) plans to conduct a follow-up audit on OIG audit reports issued during Fiscal Year 2020. The objectives of this audit are to determine 1) the adequacy, effectiveness, and timeliness of actions taken by management to address deficiencies identified in the audit reports and 2) whether monetary benefits were realized. We will conduct this audit under generally accepted government auditing standards (GAGAS).

This audit is included in the OIG's *Fiscal Year 2021 Audit and Inspection Plan* and will be conducted under project code OIG No. 21-1-21MA. Please reference this project code in correspondence involving this audit. The audit reports and corresponding recommendations that will be included in this follow-up engagement are listed under Appendix A and B. Our preliminary audit fieldwork will begin on or about March 8, 2021.

T. Dinell Arnold, Audit Director, will call to arrange an entrance conference to discuss the audit process and objectives and to answer questions. District agency leaders who receive this letter are asked to provide a point of contact from their agency to Ms. Arnold at dinell.arnold@dc.gov by March 5, 2021. During the interim, if you have questions, please contact me or Fekede Gindaba, Assistant Inspector General for Audits, at (202) 727-9770.

Sincerely,


Daniel W. Lucas
Inspector General

DWL/tda

cc: See Distribution List

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Mr. Ernest Chrappah, Director, District Department of Consumer and Regulatory Affairs (via email)
Mr. Paul J. Wiedefeld, General Manager and CEO, Washington Metropolitan Area Transit Authority (via email)
Mr. Jed Ross, Chief Risk Officer and Director, Office of Risk Management (via email)

Appendix A – List of Prior Year Audit Reports and Corresponding Recommendations Open as of September 30, 2019

Agency	Audit Report Title	Issue Date	Recommendations Made by OIG	Recommendations Agreed to By Agency	Open Recommendations
OCTO	<u>OCTO’s Oversight of Information Technology Acquisitions Needs Improvement to Ensure the Realizes Intended Benefits</u> (Report No. 18-1-19TO)	4/9/2019	12	12	5 †
DC WATER	<u>DC Water’s Procedures for Monitoring Lead in Drinking Water Could be Improved</u> (Report NO. 18-1-04LA)	4/4/2019	9	7	5 †
DDOT	<u>Contract Solicitation and Management Practices for Transportation Projects Can Be Improved</u> (Report NO. 18-1-02KA)	4/3/2019	10	8	10 †
OCA	<u>Audit of District of Columbia Agencies’ Fund Reprogramming Practices</u> (Report No. 17-1-20MA)	11/6/2018	7	5	7 †
WMATA and DDOT	<u>Report on the Examination of the Capital Funding Agreement Between Washington Metropolitan Area Transit Authority and the District of Columbia</u> (Report No. 17-1-17KE)	2/16/2018	8	6	1 †
FEMS and OCP	<u>District Response to Basic Life Support Calls Have Improved, But Contract Award And Administration Deficiencies Need to be Addressed</u> (Report No. 17-1-15FB)	7/11/2018	7	2	7 †, Δ
OCTO	<u>Actions Need to Strengthen the District’s External Cybersecurity Preparedness</u> (Report No. 16-1-16TO)	9/29/2017	9	9	9 †, Δ

Agency	Audit Report Title	Issue Date	Recommendations Made by OIG	Recommendations Agreed to By Agency	Open Recommendations
DGS	<u>Management of the District's Real Property Assets and Leasing Processes Needs Significant Improvement, Which Could Lead To Substantial Cost Savings</u> (Report No. 16-1-12AM)	8/17/2017	14	8	6 ^{†, Δ}
DPW	<u>Oversight of District Fleet Vehicle Usage and Inventory is Not Adequate</u> (Report No. 14-1-25HT)	3/31/2017	4	3	2 ^{†, Δ, ■}
Total			52		

Note: For the purposes of this chart:

- “Recommendations Made by OIG” is the total number of recommendations made by the OIG in its initial report.
- “Recommendations Agreed-to By Agency” are the number of recommendations agreed-to by the agency based on the OIG’s initial report.
- “Open Recommendations” represents the number of “Recommendations Made by the OIG” that have been followed up in prior OIG audits. The OIG has determined that District agencies have not implemented specific recommendations and are still considered open and unimplemented.
- Previous Follow Up Audits:
 - † [Audit of Prior Year Recommendations](#) (Report No. 20-1-01MA) issued on July 17, 2020.
 - Δ [Audit of Prior Year Recommendations](#) (Report No. 2019-01-001MA) issued on April 15, 2019.
 - [Follow-up of Review of OIG Recommendations](#) (Report No. 17-1-19MA) issued on November 28, 2017.

Appendix B – List of Audit Reports and Corresponding Recommendations Issued During Fiscal Year 2020

Agency	Audit Report Title	Issue Date	Recommendations Made by OIG	Recommendations Agreed to By Agency
OCTO	<i>Internal Controls for Pipeline Contract Management Need Improvement</i> (Report No. 2019-1-003TO)	1/9/2020	14	12
DCRA	<i>Evaluation of the Internal Control Environment as of August 31, 2019</i> (Report No. 19-1-28CR)	12/10/2019	17	15
DOEE	<i>The District has Made Progress Restoring the Anacostia River</i> (Report No. 19-1-24MA)	9/18/2020	8	7
DOES	<i>DOES Can Improve Tracking and Reporting for Project Empowerment Program Performance Outcomes</i> (Report No. 19-1-23CF)	7/23/2020	14	11
DDOT	<i>Prime Contractor for the Streetcar Program Billed and Received \$5.2 Million in Improper Payments from the District</i> (Report No. 19-2-22KA)	9/23/2020	10	10 ¹
DDOT	<i>DDOT Struggled to Manage Streetcar Construction Program and Could Not Adapt and Respond to Changes in the Project Scope, Schedule, and Budget</i> (Report No. 18-1-01KA)	11/27/2019	15	14
DGS	<i>Oversight of Contracts for District Facilities Modernization and New Construction Projects Needs Improvement</i> (Report No. 19-1-02AM)	5/15/2020	13	10
Total			91	79

¹ In its response to the draft report, DDOT initially disagreed with 2 of the report’s 10 recommendations. After working with the OIG, DDOT revised its response to agree with all 10 of the reports recommendations. The OIG updated this response in its [November 5, 2020 letter to the DDOT Director](#).